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**ASSISTANT COMMISSIONER FOR PATENTS  
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Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☒ [ X ] patent application of  
☐ [ ] continuation patent application of  
☐ [ ] divisional patent application of  
☐ [ ] continuation-in-part patent application of

Inventor(s)/Applicant Identifier: Thomas G. Adams and Randy R. Fuller

For: FLEXIBLE MEDIA ACCESS CONTROL AND SECTION FILTER HARDWARE ENGINE FOR SATELLITE DATA RECEIVER

[ X ] This application claims priority from each of the following Application Nos./filing dates:

60/152,190 filed on September 2, 1999

the disclosure(s) of which is (are) incorporated by reference.

Please amend this application by adding the following before the first sentence: "This application is a [ ] continuation [ ] continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ [ X ] 8 page(s) of specification  
☒ [ X ] 3 page(s) of claims  
☒ [ X ] 1 page of Abstract  
☒ [ X ] 2 sheet(s) of [ ] formal [ X ] informal drawing(s).

An assignment of the invention to \_\_\_\_\_

A [ ] signed [ ] unsigned Declaration & Power of Attorney

A [ ] signed [ X ] unsigned Declaration.

A Power of Attorney.

A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [ ] is enclosed [ ] was filed in the prior application and small entity status is still proper and desired.

A certified copy of a \_\_\_\_\_ application.

Information Disclosure Statement under 37 CFR 1.97.

A petition to extend time to respond in the parent application.

Notification of change of [ ] power of attorney [ ] correspondence address filed in prior application.

**In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f),  
Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.**

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"Express Mail" Label No. EK777493544US

Date of Deposit: August 29, 2000

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30672 U.S. PTO  
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**PATENT APPLICATION**  
**FLEXIBLE MEDIA ACCESS CONTROL AND SECTION FILTER**  
**HARDWARE ENGINE FOR SATELLITE DATA RECEIVER**

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## **FLEXIBLE MEDIA ACCESS CONTROL AND SECTION FILTER HARDWARE ENGINE FOR SATELLITE DATA RECEIVER**

This application claims the priority of U.S. Prov. Pat. Appl. No.

- 5    60/152,190, filed September 2, 1999, which is herein incorporated by reference for all purposes.

### **BACKGROUND OF THE INVENTION**

- 10            The Digital Video Broadcast (DVB) standards and the Digital Satellite System (DSS) standards are designed for the delivery of digital video and digital audio "programs" to in-home set-top box appliances. More recently, interest has arisen in using these standards for high bandwidth data delivery, for example, to personal computers for applications such as Internet access. The data broadcast may include, for example,
- 15    internet protocol (IP) packets carrying electronic mail, world wide web content, and other data.

- In data broadcast systems, various elementary streams of digital video, digital audio, and digital data typically are time-division multiplexed onto a single
- 20    transport stream that is broadcast by way of a satellite transponder to multiple receivers. The elementary streams of information or data are generally packetized, as is the transport stream.

- A receiver, for example, may be coupled to a personal computer. Such a
- 25    receiver would receive the transport stream comprising the information, demultiplex elementary streams of digital video, digital audio, and/or data from the transport stream, and filter (select) those elementary streams destined for that receiver. The receiver further should be able to deliver the elementary streams to host memory of the personal computer for processing or display.

- 30            Packets containing the elementary stream data may be addressed either to a single receiver, referred to as pointcasting, or to a group of receivers, referred to as multicasting. The address information is generally contained in a field of the header of

each packet. The field containing the address information may be referred to as a media access control (MAC) field. The MAC field allows a receiver to store or process only the packets destined for that receiver.

5                   Designing a receiver capable of demultiplexing, filtering, and delivering the elementary streams of information poses difficult problems and challenges. For example, critical timing constraints govern the delivery of elementary streams containing digital video and digital audio programs. These critical timing constraints derive, for example, from the specific timing required for proper decoding and presentation of digital  
10 video frames on the host computer. Hence, the particular elementary streams must be demultiplexed, filtered, and delivered in real time in such a way that these critical timing constraints are met.

## SUMMARY OF THE INVENTION

15                   Embodiments of the invention relate to the application of a hierarchical scheme in which a data transport stream is organized with encapsulations at multiple levels. For example, with a two-level hierarchy, the transport stream is made up of data streams identified by program identifiers, each of which is itself made up of elementary  
20 substream components identified by MAC field. Such an organization permits selective retrieval of the individual substream components as needed. In particular embodiments, such selectivity allows the scheme to be used to filter unwanted substream components out of the individual data streams as they are delivered to an end user system.

25                   Accordingly, in one embodiment, a method is provided for processing a transport stream. In accordance with the method, the transport stream is parsed to derive multiple elementary substreams, each of which includes a received MAC address. The received MAC address is compared in hardware against several stored MAC addresses. In another embodiment, the transport stream is additionally parsed to derive multiple data  
30 streams that include associated program identifiers, with each data stream being associated with a plurality of the multiple elementary substreams. The associated program identifiers and MAC addresses are used to determine corresponding transfer locations in host memory, and direct memory access transfers of the data is performed to the corresponding transfer locations.

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To facilitate the comparison of the received MAC address with the stored MAC addresses, each of the stored MAC addresses is concatenated with an index and a disable bit in one embodiment. When the disable bit is inactivated, a plurality of the bits of the received MAC address is masked. The unmasked bits are iteratively compared iteratively with similarly unmasked bits of each of the stored MAC addresses until a match is found.

Embodiments of the invention are preferably configured to transfer the data to an end user system. In one such embodiment, the end user system is an audio-visual system while in another such embodiment, the end user system is a networked computer system. In a preferred embodiment, the networked computer system includes a world wide web browser.

A further understanding of the nature and advantages of the present invention may be realized by reference to the remaining portions of the specification and the drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram including a system in accordance with one embodiment of the present invention; and

Fig. 2 is a schematic diagram illustrating an embodiment in which a received media access control address is compared with stored media access control addresses.

## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

### 1. Introduction

Fig. 1 is a schematic diagram including a system in accordance with an embodiment of the present invention. A satellite transponder 102 transmits a signal carrying a transport stream to multiple receiver dishes 104, one of which is shown in the figure. Receiver dish 104 in Fig. 1 is coupled to a host computer system 106 by way of a

link interface 111 on a receiver circuit 108. Receiver circuit 108 may comprise an integrated circuit, a circuit board having the different receiver components attached thereto, or any other suitable circuit design or configuration.

5 Receiver circuit 108 includes a local bus 110 to which is coupled a transport controller 112, MAC comparison engine 113, a local CPU 114, and local memory 116. Transport controller 112 receives the transport stream from link interface 111 and handles low-level transport stream parsing. In one embodiment, transport controller 112 operates in conjunction with a hardware MAC comparison engine 113. In  
10 accordance with one embodiment of the present invention, MAC comparison engine 113 is a stand-alone component with its own connection to local bus 110. Alternatively, MAC comparison engine 113 may be incorporated with transport controller 112 or some other component in receiver circuit 108. Both MAC comparison engine 113 and transport controller 112 are controlled by local CPU 114. Local CPU 114 comprises a  
15 microcontroller that controls operations on the receiver circuit 108. Local memory 116 comprises memory such as static RAM, dynamic RAM, or ROM located on receiver circuit 108.

A bus interface 118 couples local bus 110 on receiver circuit 108 to a host  
20 system bus 120 of host computer 106. In a preferred embodiment, host system bus 120 comprises a PCI bus, and bus interface 118 comprises a PCI interface. Also coupled to host system bus 120 are a host CPU 122, host memory 124, an audio-visual interface 126, and a network interface 130, among other components. Host CPU 122 comprises the microprocessor of host computer 106, and host memory 124 comprises memory of host  
25 computer 106. Audio-visual interface 126 comprises a graphics interface that is coupled to an audio-visual system 128. Audio-visual system 128 may include, for example, speakers, and a CRT monitor or a flat panel display. Network interface 130 comprises a network interface card that is coupled to a local area network (LAN) 131, which is in turn coupled to one or more networked computer systems 132. For example, network  
30 interface 130 may comprise an Ethernet card, LAN 131 may comprise an Ethernet network, and networked computer systems 132 may comprise personal computers.

There are two principal functions performed by MAC comparison engine 113, implementation of a submultiplexing scheme and a filter function. Conceptually, the

transport stream may be viewed as including a hierarchy of encapsulations. At the top level of the hierarchy, the transport stream comprises a plurality of elementary streams identified by program identifiers. Such a scheme is described in the concurrently filed and commonly assigned application entitled "MULTITHREADED DIRECT MEMORY ACCESS ENGINE FOR BROADCAST DATA DEMULTIPLEX OPERATIONS," (Attorney Docket No. 19927-000510US) having Thomas G. Adams and Gene Maine as coinventors, which is herein incorporated by reference for all purposes. Within each such elementary stream are components identified by MAC field. Where the transport stream contains broadcast data, the elementary streams identified by program identifiers may be associated with channels and the components identified by MAC field may be associated with pieces of the channel. In general, only certain pieces of the channel are of interest to networked computer systems 132, so MAC comparison engine 113 also performs the function of filtering out unwanted components of the elementary streams according to the MAC field.

Embodiments of the invention may also be complemented with timestamping aspects as described in the concurrently filed and commonly assigned application, "SYSTEM TIME CLOCK CAPTURE FOR COMPUTER SATELLITE RECEIVER," (Attorney Docket No. 19927-000710US), having Thomas G. Adams and Randy R. Fuller as coinventors, which is also herein incorporated by reference for all purposes.

## 2. Submultiplexing scheme

In implementing a submultiplexing scheme for a transport stream, program identifiers are included at a higher hierarchical level and MAC addresses are included at a lower hierarchical level. The transport stream can be parsed at the higher hierarchical level to derive multiple elementary streams that include the associated program identifiers. Additionally, the transport stream can be parsed at the lower hierarchical level to derive multiple elementary substreams, each including a received MAC address. The MAC address for each such elementary substream is compared in hardware against a plurality of stored MAC addresses to identify the elementary substream.

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The MAC address compare function is illustrated for one embodiment in Fig. 2. In describing the MAC address compare function, reference is made to the bit length of certain components of addresses as they are used in one embodiment of the invention. It readily will be appreciated, however, that the invention may also be applied to addresses with components of different bit lengths. In Fig. 2, received MAC address 202 is identified by comparing it with MAC addresses stored in MAC compare RAM 204. In the illustrated embodiment, received MAC address 202 contains 48 bits. Accordingly, each of the comparison MAC addresses stored in MAC compare RAM 204 contains a 48-bit component 213 that corresponds to the possible addresses to be identified. Concatenated to each of the comparison address components 213 is a five-bit index 212 and a disable bit 210.

In accordance with one embodiment, up to 128 different stored comparison MAC addresses 204 are supported for comparison with each received MAC address 202. In addition, each of the 128 stored comparison MAC addresses 204 can have any one of 32 independent compare masks (stored in the MAC compare mask RAM 206) assigned to it. This provides great flexibility in the filtering and selection of packets and allows faster identification of received MAC address 202 by speeding up the comparison process. As discussed in detail below, each of the associated compare masks allows suppression of 32 of the 48 MAC address bits from the comparison. In addition, under appropriate circumstances, disable bit 210 may be activated to suppress the masking function, thereby forcing a comparison of the full 48-bit MAC address with the comparison MAC addresses.

Using the bit masks to ignore portions of the MAC address field during the comparison also allows multicasting to groups of MAC addresses. Such multicasting may comprise Internet Protocol (IP) multicasting where a single message is sent to a group of IP addresses. The capability for such multicasting allows host computer 106 to be used as a communications bridge to a local area network that includes multiple networked computer systems 132.

One method by which the compare function may be implemented is shown with logic circuitry 230. Here, the 16-bit unmasked portion of received MAC address 202 is compared with the corresponding 16 bits of one of the comparison MAC address



components 213 with XNOR gate 218. The mask disabling feature is implemented with AND gate 216 by comparing the disable bit 210 with each of the mask bits and is controlled with OR gate 220, which restricts the comparison of the masked bits to instances where disable bit 210 has been activated. If the mask has been disabled, a comparison is performed with XNOR gate 214 of the remaining 32 bits of received MAC address 202 with comparison MAC address component 213. This procedure proceeds iteratively through the stored MAC compare addresses 204 until a match is achieved between the masked (or unmasked) received MAC address 202 and the particular MAC compare address 204.

There are various ways to load the MAC address that is to be compared in different embodiments. In the format shown in Fig. 2, where each comparison MAC address component 213 is concatenated with an index 212 and a disable bit 210, MAC compare address 204 may be loaded in two instructions. For example, the lower bits of the address (0 – 31) may be loaded in a first instruction, with the disable bit, index, and higher bits (32 – 47) being loaded in a second instruction. Provided all the MAC compare RAM locations have their own unique address in memory, there is no order dependency for loading; this is also the case for MAC compare mask RAM 206. In one embodiment, the received MAC address 202 to be compared is loaded in two instructions, much like MAC compare address 204 or the MAC compare mask. Alternatively, individual bytes of received MAC address 202 are loaded. If a platform is used that does not support byte operations, this may be done via individual registers that represent specific bytes of received MAC address 202.

### 3. Filter Functions

The filter functions embodied by the invention are complementary to the functions described above. Because each channel associated with an individual program identifier may correspond to multiple individual components, each of which is identified by a MAC address, the comparison functions may also be used in different embodiments to filter out unwanted components.

Having described several embodiments, it will be recognized by those of skill in the art that various modifications, alternative constructions, and equivalents may be used without departing from the spirit of the invention. Accordingly, the above description should not be taken as limiting the scope of the invention, which is defined in

5 the following claims.

WHAT IS CLAIMED IS:

1                   1.     A method for processing a transport stream, the method  
2 comprising:  
3                   (a) parsing the transport stream to derive multiple elementary substreams,  
4 each elementary substream including a received media access control (MAC) address;  
5 and  
6                   (b) comparing in hardware the received MAC address of a particular  
7 elementary substream against a plurality of stored MAC addresses.

1                   2.     The method according to claim 1, the method further comprising:  
2                   (a) parsing the transport stream to derive multiple data streams including  
3 associated program identifiers, each such data stream being associated with a plurality of  
4 the multiple elementary substreams;  
5                   (b) using the associated program identifiers and MAC addresses to  
6 determine corresponding transfer locations in a host memory; and  
7                   (c) performing direct memory access transfers of the multiple data streams  
8 and multiple elementary substreams to the corresponding transfer locations in the host  
9 memory.

1                   3.     The method according to claim 2, the method further comprising  
2 transferring the multiple data streams and multiple elementary substreams to an end user  
3 system.

1                   4.     The method according to claim 3 wherein the end user system  
2 comprises an audio-visual system and the step of transferring the multiple data streams  
3 and multiple elementary substreams is performed through an audio-visual interface.

1                   5.     The method according to claim 3 wherein the end user system  
2 comprises a networked computer system and the step of transferring the multiple data  
3 streams and multiple elementary substreams is performed through a network interface.

1                   6.     The method according to claim 5 wherein the end user system  
2 further comprises a world wide web browser.

1                   7.     The method according to claim 2, the method further comprising  
2     the step of filtering out unwanted elementary substreams associated with a particular data  
3     stream.

1                   8.     The method according to claim 1 wherein each of the stored MAC  
2     addresses is concatenated with an index and a disable bit.

1                   9.     The method according to claim 8 wherein the step of comparing in  
2     hardware the received MAC address of a particular elementary substream comprises:

- 3                   (a) masking a plurality of bits of the received MAC address; and  
4                   (b) iteratively comparing each of the unmasked bits of the received MAC  
5     address against the corresponding unmasked bits of each of the plurality of stored MAC  
6     addresses until a match is found.

1                   10.    The method according to claim 8 wherein the received MAC  
2     address comprises 48 bits and each of the stored MAC addresses comprises 48 bits.

1                   11.    A system for receiving and processing a transport stream, the  
2     system comprising:

- 3                   (a) a receiver configured to derive multiple elementary substreams, each  
4     elementary substream including a received media access control (MAC) address; and  
5                   (b) a hardware comparison engine within the receiver, the hardware  
6     comparison engine being configured to compare the received MAC address of a particular  
7     data stream against a plurality of stored MAC addresses.

1                   12.    The system according to claim 11, the system further comprising a  
2     direct memory access (DMA) transfer engine within the receiver, wherein the receiver is  
3     further configured to derive multiple data streams and associated program identifiers from  
4     the transport stream, each such data stream being associated with a plurality of the  
5     multiple elementary substreams, and wherein the DMA transfer engine is configured to  
6     initiate DMA transfers of the multiple data streams and multiple elementary substreams to  
7     the corresponding transfer locations in a host memory.

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1                   13.    The system according to claim 12, the system further comprising  
2    an interface connected to the receiver configured to transfer the multiple data streams and  
3    multiple elementary substreams to an end user system.

1                   14.    The system according to claim 13 wherein the end user system  
2    comprises an audio-visual system and interface comprises an audio-visual interface.

1                   15.    The system according to claim 13 wherein the end user system  
2    comprises a networked computer system and the interface comprises a network interface.

1                   16.    The system according to claim 15 wherein the end user system  
2    further comprises a world wide web browser.

1                   17.    The system according to claim 2 wherein the hardware comparison  
2    engine is further configured to filter out unwanted elementary substreams associated with  
3    a particular data stream.

1                   18.    The system according to claim 11 wherein each of the stored MAC  
2    addresses is concatenated with an index and a disable bit.

1                   19.    The system according to claim 18 wherein the hardware  
2    comparison engine is configured to compare the received MAC address of a particular  
3    elementary substream against the plurality of stored MAC addresses by:

4                   (a) masking a plurality of bits of the received MAC address; and  
5                   (b) iteratively comparing each of the unmasked bits of the received MAC  
6    address against the corresponding unmasked bits of each of the plurality of stored MAC  
7    addresses until a match is found.

1                   20.    The system according to claim 18 wherein the received MAC  
2    address comprises 48 bits and each of the stored MAC addresses comprises 48 bits.

# **FLEXIBLE MEDIA ACCESS CONTROL AND SECTION FILTER HARDWARE ENGINE FOR SATELLITE DATA RECEIVER**

## **ABSTRACT OF THE DISCLOSURE**

5           A method and system are provided for processing a data transport stream. The transport stream is parsed to derive multiple elementary substreams, each of which includes a received media access control address. The received media access control address is then compared in hardware against several stored media access control addresses.

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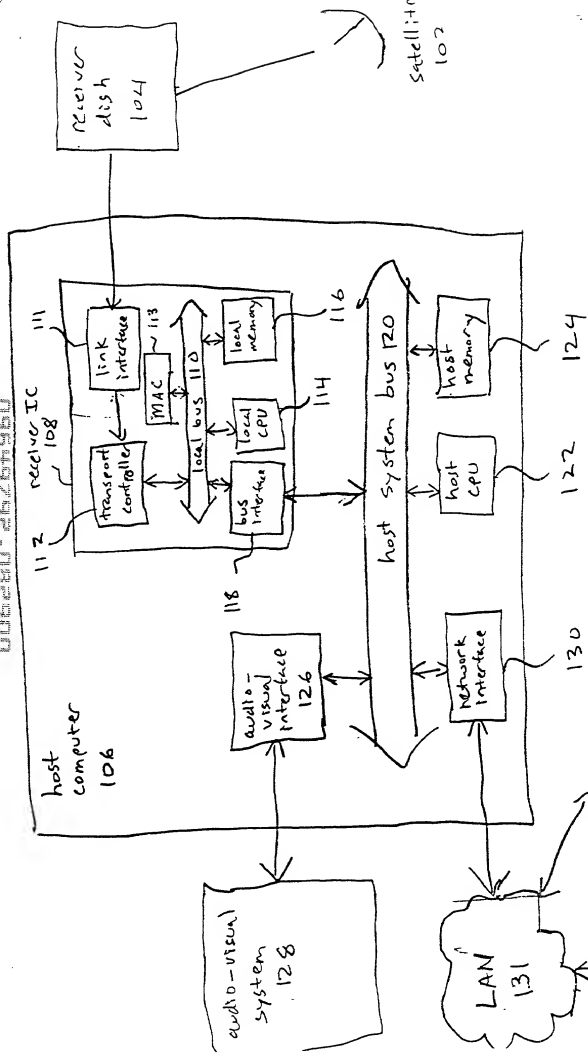


Fig. 1

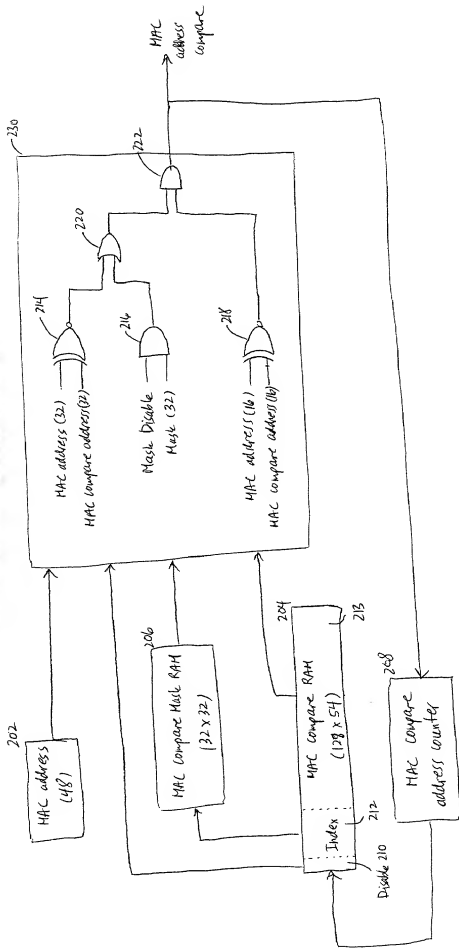


Fig. 2



**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **FLEXIBLE MEDIA ACCESS CONTROL AND SECTION FILTER HARDWARE ENGINE FOR SATELLITE DATA RECEIVER** the specification of which   X   is attached hereto or        was filed on                      as Application No.                      and was amended on                      (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/152,190	September 2, 1999

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Last Name: <b>ADAMS</b>	First Name: <b>THOMAS</b>	Middle Name or Initial: <b>G.</b>	
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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2
<u>Thomas G. Adams</u>	<u>Randy R. Fuller</u>
Date	Date

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